



08/12/04

IFW

ELM-1 Cont.14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Glenn J. Leedy
Application No. : 10/766,557 Confirmation No. : 3092
Filed : January 27, 2004
For : METHODS FOR MASKLESS LITHOGRAPHY
Group Art Unit : 2812
Examiner : Not yet assigned
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV132191600US

Date of Deposit: August 11, 2004

I hereby certify that this certification and the following papers and fees:

1. Transmittal Letter (in duplicate);
2. A Response to Notice to File Corrected Application Papers (in duplicate);
3. A copy of the June 21, 2004 Notice to File Corrected Application Papers;
4. A substitute specification in compliance with 37 CFR §§1.52, 1.121(b)(3), and 1.125; and
5. A postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Missing Parts, Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lillian Garcia

Name: Lillian Garcia



REV. 10/03
For A Small Entity

Docket No. ELM-1 Cont. 14

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TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☒ a Response to Notice to File Corrected Application Papers; ☒ a Substitute Specification; ☐ a Third Preliminary Amendment; ☐ a Supplemental Declaration; ☐ a Power of Attorney; ☐ an Associate Power of Attorney; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

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The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* = 38	0	X \$ 9	= \$ 0.00
INDEPENDENT CLAIMS	-	** = 0	0	X \$ 43	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$145	= \$ 0.00
					TOTAL <u>\$ 0.00</u>

* If less than 20, insert 20.

** If less than 3, insert 3.

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

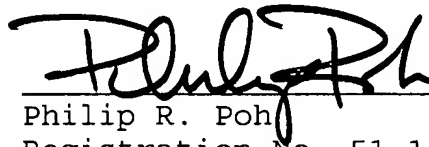
[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension is applicable to the Response filed herewith; [] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$210.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$475.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$740.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [] \$1005.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

- ☐ A check in the amount of ☐ \$55.00; ☐ \$210.00;
☐ \$475.00; ☐ \$740.00; ☐ \$1005.00; in payment
of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of
any additional fees required under 37 C.F.R. § 1.17 in
connection with the paper(s) transmitted herewith, or to
credit any overpayment of same, to Deposit Account No.
06-1075. A duplicate copy of this transmittal letter is
transmitted herewith.
- ☐ Please charge the ☐ \$55.00; ☐ \$210.00; ☐ \$475.00;
☐ \$740.00; ☐ \$1005.00; extension fee to Deposit
Account No. 06-1075. A duplicate copy of this
transmittal letter is transmitted herewith.



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PATENTS
ELM-1 Cont. 14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected
Application Papers mailed June 21, 2004, applicant hereby
submits the following:

1. A copy of the June 21, 2004 Notice to File
Corrected Application Papers; and
2. A substitute specification in compliance with
37 C.F.R. §§ 1.52, 1.121(b) (3), and 1.125.


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The Notice states that FIG. 29o described in the specification was omitted from the application. Applicant's undersigned agent reviewed the file copy of the application in connection with a Notice to Omitted Item(s) in a Nonprovisional Application that was received in connection with United States Patent Application No. 10/460,483 (Attorney Docket No. ELM-1 Cont. 8). Upon reviewing the file copy, applicant's undersigned agent found that FIG. 29o does not exist and that the specification includes a typographical error at page 9, line 2 in the section following the heading "BRIEF DESCRIPTION OF THE FIGURES." The specification at page 9, line 2 states that "Figures 29l to 29p show use of fixed freestanding membrane lithographic masks," but should be corrected as follows: "Figures 29l to 29n and 29p show use of fixed freestanding membrane lithographic masks."

The undersigned contacted OIPE Contact Representative Timothy Williams of the Initial Patent Examination Division and informed him of the typographical error in the specification. Mr. Williams instructed the undersigned to file a Preliminary Amendment correcting the typographical error instead of filing a response to the Notice of Omitted Item(s) in a Nonprovisional Application. Accordingly, a Second Preliminary Amendment that corrected the typographical error was filed on April 23, 2004.

The Director is authorized to charge payment of any fees required in connection with this Submission to Deposit Account No. 06-1075. A duplicate copy of this paper is transmitted herewith.

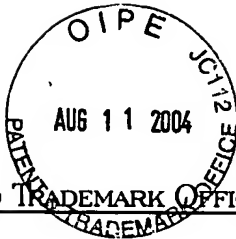
Respectfully submitted,



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UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/766,557	01/27/2004	Glenn Joseph Leedy	ELM-1CONT.14

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

CONFIRMATION NO. 3092

FORMALITIES LETTER



OC000000012997628

Date Mailed: 06/21/2004

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1, 5, 28 are not in compliance with 37 CFR 1.52(a).

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **29o** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed

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within **TWO MONTHS** of the date of this Notice.

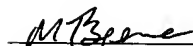
Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE